

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 33082M294 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. APPLICATION CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE PCT/JP2004/009152 June 29, 2004 July 3, 2003 TITLE OF INVENTION PROCESSING APPARATUS **CUSTOMER NO. 00441** APPLICANT(S) FOR DO/EO/US Katsuhiko OYAMA, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. A has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. X is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 34 (35 U.S.C. 371(c)(3)) \square a.

are attached hereto (required only if not communicated by the International Bureau). b. \square have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. \boxtimes An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9.. 10. \square An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🛛 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🔯 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🔯 A preliminary amendment. 14. 🔲 An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. 🔲 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

Ŷ

19.

20.

١,

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Other items or information: PCT/ISA/210; PCT/IB/301, 304, 308, 332; PCT/IPEA/401, 409

IAP9 Rec'd PCT/PTO 2 1 DEC 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#" . **

U.S. APPLICA	U.S. APPLICATION NO. (if known, see 37 CER 15) INTERNATIONAL APPLICATION NO.						ATTORNEY'S DOCKET NUMBER 33082M294		
PCT/JP2004/009152						CALCULATIONS PTO USE ONLY			
The following fees are submitted: 21. Basic national							\$ 300	FIO OSE ONET	
22. Examination Fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100 All other situations \$200							\$ 200		
23. ☑ Search fee Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided in the Office \$400 All other situations \$500							\$ 400	·	
TOTAL OF 21, 22 and 23 =							\$ 900		
listing or comp	puter p	ecification and drawin rogram listing filed in of paper or fraction the							
Total Sheets	Extra sheets			Number of each additional 50 or fraction thereof (round up to a whole number)		RATE			
- 100 =		0/50 =	T			x \$250	\$0		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest							\$		
CLAIMS		NUMBER FILED NUMBER EX		NUMBER EXTRA	RATE		\$		
Total claims		9 - 20			$\overline{}$	\$50	\$		
Independent claims		1-3			x \$200		\$		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360						\$ 360			
TOTAL OF ABOVE CALCULATIONS =							\$ 1260		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							\$		
SUBTOTAL =							\$1260		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$		
TOTAL NATIONAL FEE =							\$ 1260		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$ 40		
TOTAL FEES ENCLOSED =							\$1,300		
							Amount to be refunded:	\$	
				·			Amount to be charged:	\$	
a. 🛛 A check	k in the	e amount of \$ 1,300		to cover the above	e fees is	enclosed.			
	Please charge my Deposit Account No in the amount of \$ to cover the above fees.								
`		ppy of this sheet is en							
_		•	-	e any additional fees which ma	y be req	uired, or credit ar	ny overpayment to Deposi	t	
d. Fees a	are to be	e charged to a credit	card. WARNING	this sheet is enclosed. G: Information on this form mation and authorization on			card information should	l not	
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(and granted to restore the International Application to pending status.							'(a) or (b)) must be filed)	
SEND ALL CORRES				•		IN	<u> </u>		
Smith, Gambrell & R						SIGNATURE			
1850 M Street, N.W., Suite 800						Michael A. M	lakuch		
Washington, DC 20036						NAME			
Attention: Michael A. Makuch, Esq. 32,263							************		
						REGISTRATION	1 NUMBER	*	
FORM P	TO-1	390 (REV. 02-200	<i>i</i> 5)	Page 2	of 2				